

Attorney's Docket No.: 06618/605001 / CIT 3100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lutfollah Maleki et al. Art Unit : 2828
Serial No.: 09/816,872 Examiner : James A. Menefee
Filed : March 22, 2001
Title : NON-SPHERICAL WHISPERING-GALLERY-MODE MICROCAVITY
Commissioner for Patents
Washington, D.C. 20231

*Fee Only*TERMINAL DISCLAIMER UNDER 37 CFR §§3.73(b) AND 1.321(b)

Pursuant to 37 CFR §3.73(b), CALIFORNIA INSTITUTE OF TECHNOLOGY, certifies that it is the assignee of the entire right, title, and interest in the above application by virtue of an assignment from the inventors of the above application. The assignment was recorded in the Patent and Trademark Office at Reel 012115, Frame 0428 on August 27, 2001. California Institute of Technology also certifies that it is the assignee of U.S. Patent No. 6,490,039. Attached for your reference are copies of the following documents:

1. the assignment and recordation record of the application no. 09/816,872; and
2. the assignment and recordation record of U.S. Patent No. 6,490,039.

The undersigned has reviewed all the documents in the chain of title of the above-identified application and the U.S. Patent No. 6,490,039 and to the best of undersigned's knowledge and belief, the title to each of the application no. 09/816,872 and the U.S. Patent No. 6,490,039 is in CALIFORNIA INSTITUTE OF TECHNOLOGY.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

February 17, 2004
Date of Transmission
Signature *R. P. Ippolito*

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